

# Ultrasound-mediated delivery of siESE complexed with microbubbles attenuates HER2+/- cell line proliferation and tumor growth in rodent models of breast cancer

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## Abstract

The highly tunable, noninvasive and spatially targeted nature of microbubble-enhanced, ultrasound-guided (MB+US) drug delivery makes it desirable for a wide variety of therapies. In breast cancer, both HER2<sup>+</sup> and HER2<sup>-</sup> type neoplasms pose significant challenges to conventional therapeutics in greater than 40% of breast cancer patients, even with the widespread application of biologics such as trastuzumab. To address this therapeutic challenge, we examined the novel combination of tumor-injected microbubble-bound siRNA complexes and monodisperse size-isolated microbubbles (4- $\mu$ m diameter) to attenuate tumor growth *in vivo*, as well as MB+US-facilitated shRNA and siRNA knockdown of ESE-1, an effector linked to dysregulated HER2 expression in HER2<sup>+/-</sup> cell line propagation. We first screened six variants of siESE and shESE for efficient knockdown of ESE in breast cancer cell lines. We demonstrated efficient reduction of BT-474 (PR<sup>+</sup>, ER<sup>+</sup>, HER2<sup>+</sup>; luminal B) and MDA-MB-468 (PR<sup>-</sup>, ER<sup>-</sup>, HER2<sup>-</sup>; triple-negative) clonogenicity and non-adherent growth after knockdown of ESE-1. A significant reduction in proliferative potential was seen for both cell lines using MB+US to deliver shESE and siESE. We then demonstrated significant attenuation of BT-474 xenograft tumor growth in Nod/SCID female mice using direct injection of microbubble-adsorbed siESE to the tumor and subsequent sonication. Our results suggest a positive effect on drug delivery from MB+US, and highlights the feasibility of using RNAi and MB+US for breast cancer pathologies. RNAi coupled with MB+US may also be an effective theranostic approach to treat other acoustically accessible tumors, such as melanoma, thyroid, parotid and skin cancer.

Key words: ESE-1, microbubbles, ultrasound contrast agent, theranostics, gene therapy

## Introduction

Cancer incidence has risen across the globe despite over half a century of investment in the development of chemotherapeutics, and the vast majority of therapeutic agents have failed to pass clinical trials (1-5). Most chemotherapeutics in current use, such as doxorubicin and paclitaxel, work by interfering with cell-cycle processes, but do so in an untargeted, transient fashion, necessitating increased doses and repeated administration, and result in significant side-effects. Treatment of some oncopathologies, such as breast cancer, have benefited

from the implementation of hormone therapy and the use of targeted biologics, such as trastuzumab (anti-HER2), which target surface proteins associated with enhanced tumorigenesis in breast cancer cells. However, pathologies such as triple-negative breast cancer (TNBC) do not express genes for common breast-cancer biomarkers, and even common breast cancer pathologies may develop a resistance to antibody treatment, as evidenced by a low (30%) response rate in HER2<sup>+</sup> breast cancer patients to anti-HER2 (trastuzumab) after 5-9 months (6-8). In

particularly aggressive oncopathologies such as HER2 positive breast cancer, circumvention of resistance to anti-HER2 therapy may involve the knockdown of positive effectors for HER2 such as epithelial-specific ETS1 (ESE-1) (9,10). However, efficient delivery of siRNA and other nucleic acids has traditionally been the purview of viral agents (9), which remain limited in tissue specificity and ability to deliver sufficient quantities of therapeutic agent (11)—potentially necessitating increased doses and posing risks related to off-target effects.

Microbubble-enhanced ultrasound (MB+US) facilitates targeted drug delivery through ultrasound-mediated cavitation of lipid-encapsulated gas microspheres (1-10  $\mu\text{m}$  diameter), effecting mechanofluidic disruption of tissue and cell membranes in a targeted area. Microbubbles commonly used as ultrasound contrast agents have been used as FDA-approved diagnostic agents for over a decade in contrast-enhanced sonography due to their unparalleled echogenicity under imaging ultrasound. Unlike chemically targeted treatment methods such as immunotherapy or virotherapy, MB+US is one of few drug-delivery methods capable of spatiotemporal targeting by both chemical and physical means. The versatile nature of MB+US drug delivery stems from several tunable parameters: the encapsulating shell may be functionalized for surface charge or conjugation with targeting ligands; the gas core and microbubble size can determine circulation persistence and magnitude of permeabilization (12–14), while ultrasonic parameters may also affect the magnitude of permeabilization. To address immunogenicity, a common issue with viral agents, several microbubble formulations incorporate a shielding layer of polyethylene-glycol (PEG), which may be further functionalized with antibodies or therapeutic payloads (15–17). A significant body of literature exists regarding enhanced treatment efficiency and spatially targeted delivery of existing chemotherapeutic agents using MB+US (18–25).

The use of gene therapy to directly suppress oncogenes or redirect immune response may be a promising alternative to aforementioned conventional chemotherapeutics, the latter highlighted by recent approval of chimeric antigen-receptor T-cell (CAR T), the first FDA-approved gene-therapy process for cancer treatment (26). However, the application of CAR T remains limited to few pathologies and poses significant safety challenges, such as cytokine release syndrome (CRS)—a life-threatening and systemic immune response observed in 23-80% of CAR T treated patients (27–30). Thus, gene therapy techniques that aim to induce oncolysis or suppress tumorigenesis remain highly relevant. Indeed,

RNAi-mediated knockdown of tumorigenic gene expression has been shown to effectively attenuate tumor growth in breast cancer cell lines resistant to conventional treatment with trastuzumab, hormone therapy and common chemotherapeutics (9).

RNAi and MB+US-mediated attenuation of tumor growth was first examined using systemically injected siEGFR+MB for squamous cell carcinoma in a 2012 study conducted by Carson et al. (31), and the approach was recently reviewed by Wang et al. in 2016 (32). In the present study, we investigate the novel combination of RNAi, MB+US drug delivery and direct tumor injection as a potential xenograft tumor-suppression method for chemotherapeutic-resistant breast cancers, as well as characterize the treatment's anti-proliferative effects with two breast cancer cell lines: MDA-MB-468 (basal, triple-negative: HER2<sup>-</sup>, PR<sup>-</sup>, ER<sup>-</sup>) and BT474 (luminal B, HER2<sup>+</sup>, PR<sup>+</sup>, ER<sup>+</sup>). An *in vitro* sonoporation of cells in suspension was used to deliver both short-hairpin RNA (shRNA) and small-interfering RNA (siRNA) to examine RNAi efficiency and cell proliferation after MB+US. Compared to siRNA, which may be delivered to and processed in the cytoplasm, shRNA requires that its expression vector, such as pDNA, be transported to the nucleus, where intranuclear processes generate copies of the shRNA. While the translocation of pDNA introduces challenges, a potential advantage of utilizing pDNA vectors to express shRNA is the high number of replicants possible. To address nuclease-mediated degradation, we electrostatically complexed negatively charged siRNA and shRNA to positively charged (cationic) microbubbles. This siRNA+MB complex mirrors a previously investigated strategy for improving the serum stability of siRNA on nanoparticles via surface immobilization (33), and features the added benefits of tying drug delivery with microbubble destruction to improving RNA localization in the tumor. The potential combination of both imaging and drug delivery makes MB+US an attractive theranostics approach. Finally, the majority of microbubble formulations used for MB+US are polydisperse in size, and can vary in concentration and size distribution from batch to batch (34). Based on previous studies conducted by our group regarding the effects of microbubble size (13,14), we implemented monodisperse formulations of small (2- $\mu\text{m}$ ) and medium (4- $\mu\text{m}$ ) diameter microbubbles for *in vitro* cells in suspension and *in vivo* tumor-suppression studies, respectively.

Intratumoral delivery, particularly of immunotherapeutic agents, has drawn attention in recent years as a method to utilize a relatively small quantity of immunostimulatory agents and to achieve

high drug concentrations within the tumor itself in a cost-effective, but more invasive manner compared to systemic delivery.(35,36) Intratumoral delivery reduces systemic toxicity, and potentially harnesses lymphatic drainage to further improve drug delivery to relevant tissue—a key consideration regarding malignancy and lymphatic spread of tumoral cells.(36) In the case of MB+US delivery of interfering RNAs, intratumoral injection offers the aforementioned advantages, as well as the potential to improve RNA-propagation throughout the tumors themselves via mechanical permeabilization by the acoustically stimulated microbubbles. Indeed, previous studies have demonstrated significantly improved drug (miRNA) propagation in hepatocellular tumor tissue after MB+US, compared to MBs alone, reinforcing the significance of microbubble cavitation in not only intracellular delivery processes, but also tissue permeabilization and drug penetration in tumors.(37,38) Although some RNA types have been identified to be stable in circulation, intratumoral injection of RNAs adsorbed to cationically charged microbubbles, as demonstrated in this study, aims to minimize the exposure of RNAs to nucleases and other degradative factors before they can take effect.

Taken together, the MB+US studies reported here underscore this approach as a novel, viable method to deliver RNAi in a highly localized manner to attenuate tumor growth. These studies are significant because they provide a treatment modality that should have fewer clinical side effects, as these agents are administered intratumorally instead of systemically to improve the therapeutic index by achieving locally concentrated levels.

## Material and Methods

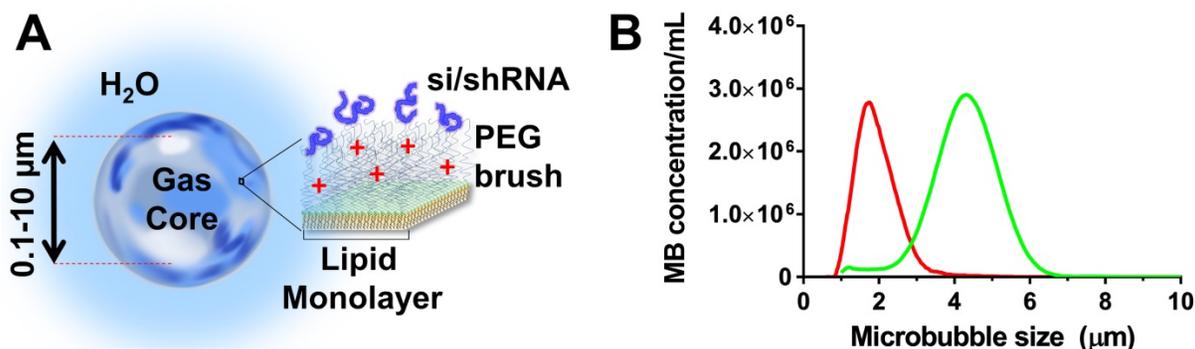
### Size-isolated cationic microbubbles

Cationic microbubbles were chosen owing to their ability to adsorb negatively charged nucleic acids (Fig. 1A). The cationic microbubbles were

size-isolated to obtain monodisperse size-distributions to improve permeabilization (13). The cationic size-isolated microbubbles (diameters: 2 and 4  $\mu\text{m}$ ) used here were obtained from Advanced Microbubbles Laboratories (Boulder, CO) at concentrations between  $1.8$  and  $2.3 \times 10^9$  per mL. Microbubble size was measured with a Multisizer 3 (Beckman Coulter, Brea, CA). Cationic size-isolated microbubble formulations (referred to as 2- and 4- $\mu\text{m}$ ) were measured to have median diameters of 1.7 and 4.1  $\mu\text{m}$  (Fig. 1B). The microbubbles were stored and handled as described in our previous study (14). The morphology and stability of cationic microbubbles when electrostatically-loaded with nucleic acids was examined in previous studies, and shown to be stable (39,40,13).

### Cell Culture

MDA-MB-468 is a triple-negative human breast cancer cell line. Despite our attempts, this cell line failed to grow in xenograft tumors in immune compromised mice. BT-474 is a HER2<sup>+</sup>, PR<sup>+</sup>, ER<sup>+</sup> luminal B human breast cancer cell line that is capable of generating xenograft tumors in mice, and BT-474 cells were purchased from the University of Colorado Cancer Center Tissue Culture Shared Resource and short tandem repeat analysis validated stocks. MDA-MB-468 and BT-474 were cultured in DMEM/F12 supplemented with 10% (*v/v*) fetal bovine serum (FBS; Gibco; Waltham, MA). For siLuc studies, BT-474 cells were first stably transfected with luciferase to generate BT-474-luc cells as previously demonstrated by our group (41). Specifically, a luciferase gene was cloned into lentiviral mammalian expression vector pCDH-CMV-MCS-puro from System Bioscience. BT474 cells were transduced with packaged virus at a ratio of 1:3 and cells were stably selected with puromycin at 0.5  $\mu\text{g}/\text{mL}$  over a period of 1 month. Luciferase signals were tested using a luciferase assay system kit from Promega (# E1500).



**Figure 1. Cationic size-isolated microbubble drug-delivery vehicles.** (A) Diagram of microbubble structure with complexed siESE/shESE. (b) Number-weighted size distribution of size-isolated microbubbles.

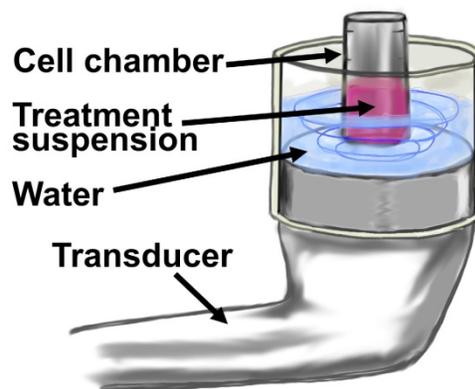
## RNAi

Oligonucleotides siESE-1A, B, C and D, targeting distinct domains of ESE-1, were purchased from Open Biosystems (Huntsville, AL). siESE-1\_1.3 (5'-gccatgaggtactactacaac-3') targets the ETS domain and siESE-1\_1.5 (5'-gcaactactcagtgcgatgtac-3') targets the Pointed domain, and these siRNAs were custom synthesized by GE Dharmacon (Lafayette, CO). siScr is non-Targeting siRNA#1, which was used as control (Dharmacon, Lafayette, CO). pLKO.1 shESE-1\_1.5 was purchased from Open Biosystems (Huntsville, AL), and designed so that shESE-1\_1.5 spanned the same target sequence as siESE-1\_1.5 (5'-gcaactactcagtgcgatgtac-3') and siMyc spanned the target sequence 5'-cctgagacagatcagcaaca-3' of cMyc. c-Myc is a proto-oncogene which encodes a transcription factor associated with cellular proliferation, differentiation and apoptosis in a wide range of cells. Previous studies have shown that knockdown of c-Myc significantly reduced cell proliferation, colony formation, tumor growth and metastasis in several cases utilizing breast-cancer cell lines such as MCF-7, MDA231, SKBR3, LY2 and MDA453.(42–44) Thus, siMyc+MB+US was chosen as a positive control to serve as an analog to siESE and to reveal the effect of degradative or cellular processes on knockdown. The shScr scrambled control was a gift from Dr. Bolin Liu (45). Also, siLuc was also purchased from Open Biosystems (Huntsville, AL). Optimal conditions for *in vitro* transfection and sonoporation using siRNA and shRNA were established with Lipofectamine 2000 (ThermoFisher Scientific, Waltham, MA) and MB+US, respectively.

## Sonoporation of cells in suspension

Cationic microbubbles (2- $\mu\text{m}$ ;  $1 \times 10^9$  MB/mL) and siRNA (50 nM) were mixed in 100  $\mu\text{L}$  of nuclease-free PBS at 4°C for 30 minutes to allow for adsorption of siRNA onto the microbubbles. The siRNA+MB suspension was then added to 100  $\mu\text{L}$  of MDA-MB-468 or BT-474 cells to achieve a total volume of 200  $\mu\text{L}$  with constituent concentrations of  $1 \times 10^6$  cells/mL,  $5 \times 10^8$  MB/mL and 100 nM siRNA. The suspension was subjected to ultrasound (1 MHz, 2.0 W/cm<sup>2</sup>, 10% duty cycle) for one minute of total sonication at 37°C using a SoundCare Plus Clinical Ultrasound Device with a 6.5 cm<sup>2</sup> diameter ultrasound transducer (Fig. 2). The sonoporation chamber used in these experiments was a portable version of a unit previously developed and characterized by our group using HeLa cells (13), and consisted of an acoustically-absorptive silicone barrier which held heated water, as well as an immersed cell chamber holding microbubble and cell suspension (Fig. 2). The cell chamber was constructed by cutting a

1 mL Eppendorf tube (Hauppauge, NY) into a 500  $\mu\text{L}$  cylinder and sealing both ends with an acoustically transparent mylar film. Mixing was conducted by pausing the sonoporation at five second intervals, and timing five chamber inversions in five seconds. Sonoporated cell suspensions were plated in 6 well plates for clonogenicity and luciferase assays.



**Figure 2. Diagram of *in vitro* MB+US configuration for transfection of cells in suspension.** The treatment suspension is comprised of cell media, cells and microbubbles with adsorbed shRNA or siRNA.

## Clonogenicity

Sonoporated cell suspensions were plated in 6 well tissue culture plates at 3,000 cells per well. All clonogenicity assays were incubated in a humidified tissue culture incubator at 37°C in 5% CO<sub>2</sub>. DMEM/F12 media was changed twice per week for 11 days total. MDA-MB-468 cells were washed the 1x phosphate buffered saline (PBS), fixed for 15 min with 4% PFA, then washed two more times with 1x PBS. Colonies on tissue culture plates were stained with 0.1% crystal violet in 25% methanol for 1 hour in room temperature. Colonies were then washed twice with water and photographed with a digital camera. The acquired images were analyzed using ImageJ software (<https://imagej.nih.gov/ij/>). Colonies larger than 150  $\mu\text{m}$  in diameter were scored as positive and counted.

## Soft agar assay

Soft agar assays were performed in 6-well tissue culture plates, in assay medium that consisted of DMEM/F12 supplemented with 10% FBS. Individual wells were covered with 1.5 mL of base layer (0.6%) that was composed of 60% of agar stock (1% Difco TM noble agar BD #214230 in deionized water) and 40% of assay medium. Base layers were left to solidify for 30 min at room temperature. The MDA-MB-468 were grown in complete media, then harvested with trypsin and suspended in assay media. Subsequently, sonoporated cells were counted and suspended at

10,000 cells per well. All cells suspensions were mixed with agar-containing assay media, so that the final concentration of agar was 0.3%. The top layer was plated on the solidified base layers (1.5 mL per well) and left to solidify for 30 min at room temperature. All soft agar cultures were incubated in a humidified tissue incubator at 37°C in 5% CO<sub>2</sub> for 17 days and fed with 125 µL of complete media twice a week. The resulting colonies were treated with 200 µL Nitroblue reagent (1 mg/mL, Amresco, #0329) and incubated at 37°C overnight to develop a blue stain. Colonies were photographed with a digital camera, and the acquired images were analyzed using the ImageJ software. Colonies larger than 150 µm in diameter were scored as positive and counted.

### Luciferase assay

BT-474-Luc cells were resuspended at 1×10<sup>6</sup> cells/mL in DMEM/F12 media. Microbubbles were added to 2 mL of suspension at 1×10<sup>8</sup> /mL with 100 nM of siLuc (Promega, Madison, WI) and sonoporated as described above. Treated cells were cultured for 48 hrs after sonoporation, trypsinized and separated into two 40 µL wells. Luciferase (40 µL) was added to each well and luminescence was measured using a Synergy HTX plate reader (Biotek, Winooski, VT).

### Immunocytochemistry

MDA-MB-468 were harvested in 0.05% trypsin with 0.5 mM EDTA and 3,000 cells were plated in 8-well chamber slides. Cells were washed with 1x PBS, fixed for 15 min in 4% PFA, then washed two more times with 1x PBS. Cells were permeabilized with 0.1% Triton X-100 in PBS, and then blocked for 30 min in PBS/0.2% BSA. The slide was incubated overnight with anti-ESE-1 (mAb405 generated in the AGH lab) in PBS/0.2% BSA, washed 3 times with PBS/0.2% BSA, and then incubated with secondary antibody (Biotinylated goat anti-Mouse IgG Vector Laboratories) in PBS/0.2% BSA. Thereafter the slides were washed in PBS/0.2% BSA, and then Vectastain ABC is added for 30 mins. Cells are then washed 3 times with PBS/0.2% and then the treatment of DAB+ peroxidase substrate (DAKO, # K3468) per manufacturer's instructions. Slides were washed in water and then nuclei were counterstained with Mayer's hematoxylin (diluted 1:10) for 30s. Thereafter, the slide was rinsed in water, dehydrated in graded ethanol and then mounted using Permount (Fisher # SP15-100).

### Animal procedures

Animal procedures were approved by the University of Colorado Denver IACUC committee (Protocol # 63814(12)1E). Female NOD.CB17-

*Prkdcscid*/NCRHsd (NOD.SCID) mice (5–6 weeks) were obtained from NCI-Frederick. After one week of acclimation the mice were subcutaneously implanted with silastic pellets containing cellulose/17β-estradiol mixture (2 mg/pellet). After two weeks, 2×10<sup>6</sup> cells BT474-Luc cells were resuspended with 50 µL of Matrigel (BD Biosciences #356230) and were injected bilaterally onto mammary fat pads. A total of 6 separate rounds of injections (6 distinct biological replicates) were performed. Each round included from 4 to 15 mice, with the following groups represented: siScr (negative control), siESE-1.3, siMyc (positive control), siESE-1.3 -MB +US, and siMyc -MB +US, for a total of 50 mice and 59 tumors.

Once tumors became palpable, initial tumor volume was calculated using caliper measurements. Around 4 weeks post-cell-line/Matrigel injections, when tumors achieved ~100 mm<sup>3</sup>, they were injected with a mixture of either 10 µg siControl, siESE-1, or siMyc in 25 µL, plus 25 µL microbubble, for a total volume of 50 µL. The mixture was then injected directly into the center of the tumor. Ultrasonic imaging was considered to assess the proliferation of microbubbles throughout the tumor, as has been shown in prior studies (46). However, this was deemed unnecessary for intratumoral delivery because a direct visual observation could be made on the propagation and distribution of microbubbles within the tumor mass, owing to their highly refractive, white color. Tumors were then subjected to ultrasound (1 MHz, 2.0 W/cm<sup>2</sup>, 10% duty cycle) for 2 minutes using the SoundCare Plus Clinical Ultrasound Device with the 1-cm<sup>2</sup> diameter ultrasound transducer. Tumor-targeted MB+siESE injections were repeated after 24 hrs, and 48 hrs from the initial injection (for a total of 3 injections). After the third injection, tumors were measured with calipers for two weeks. Successful injection of complexed MB+siRNA was observed as a conspicuous lightening of the tumor under the shaved skin of the host mice due to distribution of the microbubble mixture. No significant weight change or behavioral anomalies were observed in MB+US-treated animals.

Tumor sizes were measured as tumors became palpable, and tumor growth in response to control and siESE-treated conditions was evaluated for a range of initial tumor volumes (33.5–1230.9 mm<sup>3</sup>) (See *Supplemental Data*). Initial tumor volume was determined after tumors grew to palpable volumes, with 150 mm<sup>3</sup> targeted as the initial tumor volume, and an actual measured median of 131.9 mm<sup>3</sup> and a mean of 226.7 mm<sup>3</sup>. Final tumor volume was measured two weeks from this initial measurement and normalized to the initial measurement (See

*Supplemental Data*). Growth was represented as the final tumor volume divided by initial tumor volume.

### Data analysis

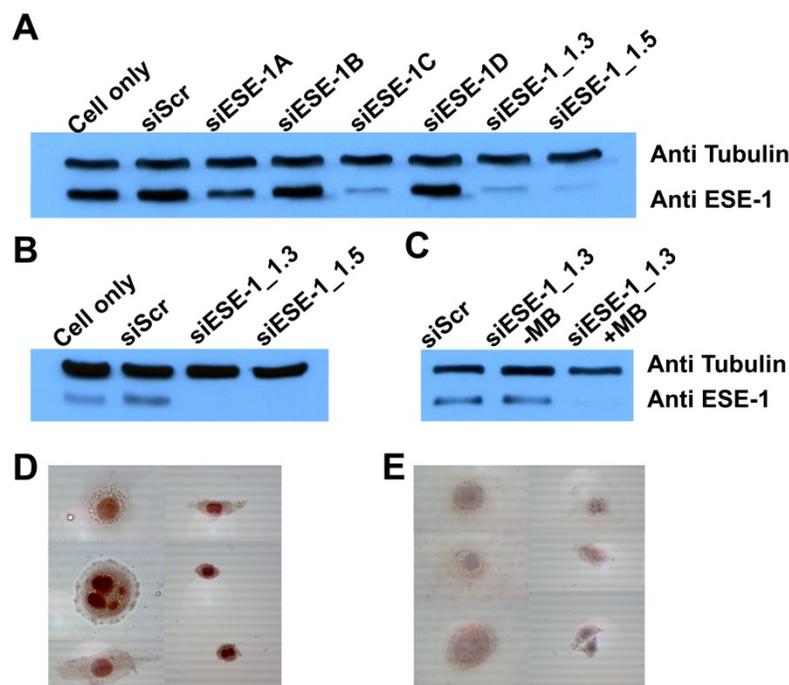
Normalized clonogenicity and proliferation of cells on soft-agar were represented as the final number of colonies divided by the original number of colonies. Normalized tumor growth at two weeks was obtained by dividing the two-week tumor volume by initial tumor volume. Statistical analysis was conducted in GraphPad Prism (La Jolla, CA), and statistical significance between samples representing two conditions were analyzed using a Mann-Whitney test, with  $p < 0.05$  interpreted as a significantly different comparison.

## Results

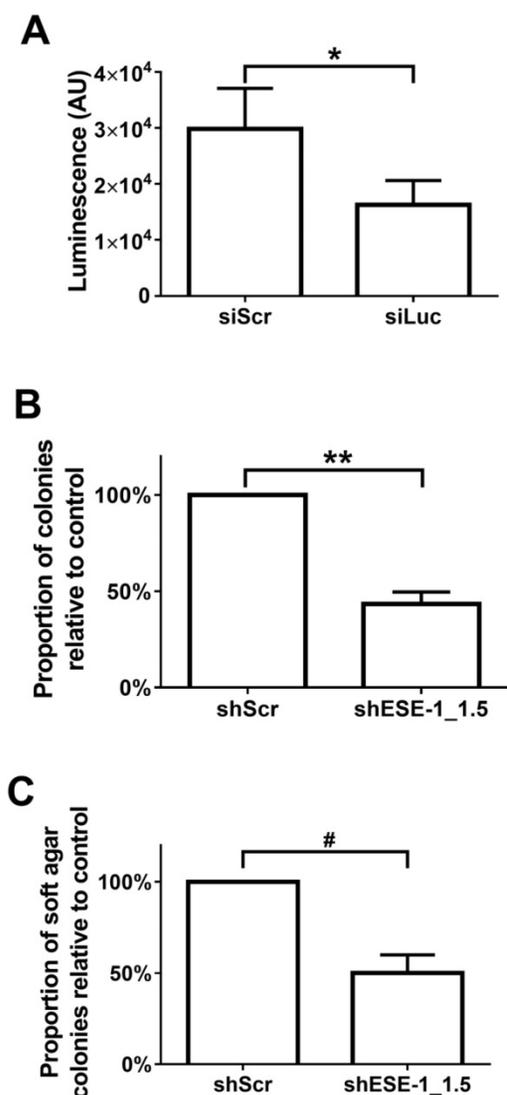
### ESE-1 knockdown is achieved in HER2+, ER+, luminal B human breast cancer cell lines using siESE1 and MB+US

ESE-1 knockdown was achieved in two breast cancer cell lines, MDA-MB-468 and BT-474, which represented triple-negative (ER-, PR-, HER2-; basal) and conventional (ER+, PR+, HER2+; luminal) breast cancer cell types, respectively. We first targeted for ESE-1 sequences that would achieve optimal ESE-1 knockdown in MDA-MB-468 cells, by transfecting

with six distinct sequences of siESE (1A, 1B, 1C, 1D, 1.3 and 1.5) using Lipofectamine-2000. Immunoblot of MDA-MB-468 cells transfected with three siESE-1 variants (-1C, 1.3 and 1.5) using Lipofectamine-2000 demonstrated significantly reduced anti-ESE staining compared to the negative control, si-scramble (siScr), and siESE-1A, -1B and -1D (Fig. 3A). Immunoblotting for tubulin was performed to control for sample loading. siESE-1\_1.3 qualitatively demonstrated an increase in ESE knockdown over siESE-1\_1.5 (Fig. 3A), and based upon these results, si/shESE-1\_1.3 was selected for subsequent MB+US-attenuated colony formation and tumor growth. Similar to lipofectamine+siESE treated conditions, sonoporation-mediated MB+US+siESE-1\_1.3 effected significantly reduced anti-ESE levels in BT-474 cell lysates (Fig. 3B), and microbubbles were required to enhance sonoporation (Fig. 3C). To determine whether plasmids expressing shRNA could also be sonoporated using microbubbles, MDA-MB-468 cells treated with short-hairpin RNA targeting ESE (shESE-1\_1.5), and MB+US were also observed to express significantly less ESE-1 as determined by immunocytochemistry than cells treated with MB+US and shScr or samples treated with ultrasound alone (Fig. 3D and 3E).



**Figure 3. Comparative ESE-1 expression and MB+US knockdown using siESE-1 and shESE-1.** (A) Western blot analysis of ESE-1 knockdown via lipofectamine siRNA transfection. MDA-MB-468 triple-negative breast cancer cells were transfected with Lipofectamine and siScr, six variants of siESE for optimization. (B) Western blot analysis of ESE-1 knockdown via MB+US sonoporation. MB+US was used to transfect MD-MB-468 cells with siESE-1. (C) Assessing requirement of MB for the sonoporation of siESE-1\_1.3. US+/-MB was also used to transfect BT-474 luminal B breast cancer cells with siESE-1\_1.3. (D and E) Immunocytochemistry analysis of ESE-1 knockdown via sonoporation in MDA-MB-468 cells. A plasmid construct encoding shESE-1\_1.5 was delivered using MB+US targeting scramble (Scr) (D) or ESE-1 (shESE-1\_1.5) (E). ICC was conducted with anti-ESE-1 mAb405 and developed with secondary Ab. ESE-1 levels show as a red stain.



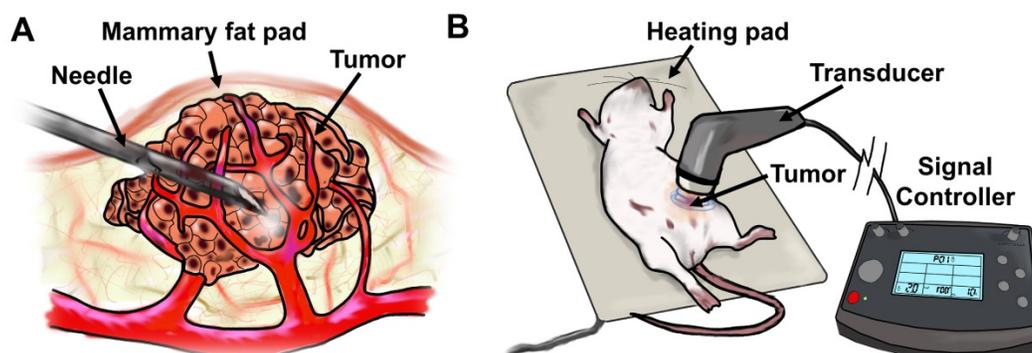
**Figure 4. Quantification MB/US-mediated siRNA knockdown of ESE-1 and its effects on the transformation features of BT-474-Luc and MDA-MB-468 breast cancer cell lines.** (A) Luminescence reduction was evaluated 48 hrs after MB+US-sonoporated siLuc delivery to suspended, BT-474-Luc cells stably expressing luciferase. (B) MDA-MB-468 colony formation on tissue culture plates was evaluated after MB+US-facilitated delivery of shESE-1\_1.5. (C) Soft-agar colony numbers were evaluated 11 days after MB+US-facilitated delivery of shESE-1\_1.5 to MDA-MB-468 cells in suspension (\*,  $p < 0.05$ ; \*\*,  $p < 0.0001$ ; #,  $p < 0.001$ ).

### MB+US-facilitated ESE-1 knockdown in breast cancer cell lines attenuates colony formation

Quantitative evaluation of MB+US-mediated transfection efficiency was initially evaluated with siLuc in BT-474-luc cells (Fig. 4A). A 45.5% reduction of luminescence between control (siScr) and siLuc-treated cells was observed in cells 48 hrs after MB+US, indicating intracellular delivery of siRNA via MB+US. We next evaluated adhesion and adhesion-independent cell growth by examining clonogenicity and soft-agar colony formation, respectively, in MDA-MB-468 cells 17 days after MB+US-mediated transfection with siScr and shESE-1\_1.3. Compared to control (siScr-transfected cells), MB+US-facilitated delivery of shESE-1\_1.3 to MDA-MB-468 cells resulted in a  $56.7 \pm 6.2\%$  decrease in colony formation in adherent conditions (clonogenicity assay, Fig. 4B) and a  $50.0 \pm 10.1\%$  decrease of nonadhesive colony formation in soft agar (Fig. 4C), indicating successful shESE-1\_1.3 delivery, ESE-1 knockdown, and reduction in transformation properties.

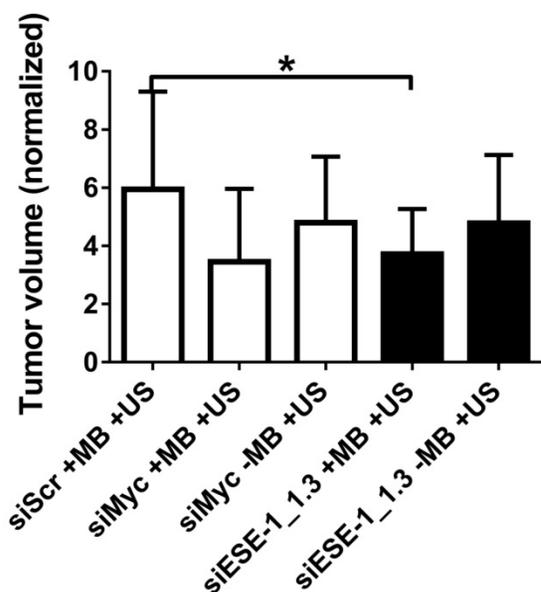
### MB+US-facilitated attenuation of tumor growth after direct injection of MB and siESE

A preliminary comparison of implanted MDA-MB-468-luc and BT-474-luc tumorigenicity in NOD SCID female mice indicated significantly increased tumor formation with BT-474-luc cells (data not shown). Therefore, BT-474-luc was selected to be the seeded cells for *in vivo* tumorigenesis and tumor growth studies. c-Myc, a “master regulator” oncogene whose knockdown is strongly linked with reduced tumorigenesis in several pathologies (33–39), was targeted in positive controls in conditions using MB+US and siMyc. siMyc+MB+US conditions demonstrated a 41.7% reduction ( $p < 0.10$ ) in tumor growth compared to siScr-treated tumors (Fig. 6). Injection of siMyc alone, without microbubbles, resulted in a 19.3% reduction ( $p > 0.50$ ) in tumor volume size compared to siScr conditions.



**Figure 5. Diagram of tumor-targeted MB+US process.** a) direct-injection of complexed siESE-1\_1.3 and cationic size-isolated microbubbles, and (b) ultrasound application to MB+siRNA-injected tumors.

Application of ultrasound and MB+siESE-1\_1.3 resulted in significantly smaller tumor growth ( $3.8 \pm 1.5$ -fold growth) compared to negative control tumors treated with siScr ( $5.9 \pm 3.3$ -fold growth), effecting a 35.6% reduction in tumor size ( $p < 0.05$ ; Fig. 6B). Ultrasound application after siESE-1\_1.3 injection without microbubbles resulted in an 18.6% reduction ( $p > 0.3$ ) in tumor size compared to siScr conditions ( $4.8 \pm 2.3$ - vs.  $5.9 \pm 3.3$ -fold growth). Comparison of tumor volume after treatment with positive control conditions (MB+US+siMyc;  $3.5 \pm 2.5$ -fold growth) and tumor volume after treatment with MB+US+siESE ( $3.8 \pm 1.5$ -fold growth) showed no significant difference ( $p > 0.7$ ).



**Figure 6. Tumor growth attenuation with MB+US-mediated delivery of siESE-1\_1.3 directly into the tumor.** BT-474-Luc xenograft tumor growth was evaluated in NOD/SCID female mice after siRNA direct injection into the tumors followed by MB+US delivery into tumor cells (\*,  $p < 0.05$ ). BT-474-Luc cells seeded in Matrigel plugs were injected into mammary fat pads, and tumor growth was measured as tumors became palpable. Initial tumor volume was recorded, and it ranged from  $33.5$ - $1230.9$  mm<sup>3</sup> (with initial tumor size having a median size of  $131.9$  mm<sup>3</sup> and a mean size of  $226.7$  mm<sup>3</sup>). Final tumor volume was recorded two weeks after initial tumor volume and the data are presented as fold-increase of final volume over initial volume. The data in this figure is derived from six distinct injection studies (ie, six biological replicates, representing 50 mice and 59 tumors), and is represented over time (A) and after two weeks (B).

## Discussion

An initial goal of this study was to utilize MB+US to achieve targeted delivery of si/shESE and efficient knockdown of ESE-1 in two distinct breast cancer cell lines, and to characterize the anti-proliferative efficiency of MB+US-facilitated delivery of siRNA and shRNA *in vitro* and siRNA in xenograft tumors. Colony-forming behavior in cancerous cells not adhered to a surface is a well-documented characteristic of tumorigenesis

(54,55). Our results indicated that MB+US-facilitated siESE knockdown of ESE-1 resulted in decreased colony formation in both adherent (plated on etched polystyrene surface) as well as non-adherent (soft agar surface) cells (Fig. 3 and 4). Specifically, successful knockdown of ESE-1 and the resulting anti-proliferative effect was achieved *in vitro* with two cell types (MDA-MB-468 and BT474), two transfection methods (liposomal and MB+US delivery), and siESE as well as shESE. The reduction in proliferative behavior after si/shRNA+MB+US treatment for these two disparate cell lines was particularly interesting: MDA-MB-468 is a basal cell type, negative for estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2). In contrast, BT-474 is a luminal-type cell line and is generally positive for ER, PR and HER2. Although ESE-1 expression has previously been shown to correlate with HER2 expression in human breast cancers (56-59), it remains possible that ESE-1 knockdown not only decreases HER2 and pHER2 levels (9), but may also affect other signaling pathways to mediate the anti-tumorigenic effects of reduced ESE-1 levels. Additionally, the ability of MB+US to efficiently deliver shESE-1\_1.5 and achieve an anti-proliferative effect *in vitro* was of note (Fig. 4), due to the larger size of plasmid vectors encoding short-hairpin RNAs ( $> 4$  MDa) compared to siRNA ( $< 8$  kDa), as well as the need to localize plasmid vectors encoding shRNA in the nucleus to effect transfection. Compared to electroporation which permeabilizes both the nuclear and cell membrane, it is likely that MB+US effects transient permeabilization of the cell membrane alone (60,61). While si/shRNA integrity was not characterized after adsorption to the microbubble, knockdown efficacy in clonogenicity and soft-agar assays was interpreted as evidence that nucleic acids were functionally preserved during the experimental procedures. It is also important to note that the shRNA mentioned in our study was expressed from pDNA. In a previous study examining BT-474 tumor transduction using lentivirus-packaged shESE-1\_1.3, our group saw an 86% reduction in tumor growth compared to shSCR-treated controls (9,41). Our current study demonstrates a 40% reduction with siESE delivered from microbubbles. We attribute this discrepancy to the potential transduction efficiency of viruses, as well as the use of siESE instead of shESE. Specifically, siESE was selected due to the higher molar concentrations of loading possible on the surface of the microbubble compared to the much larger pDNA expressing shRNA. The payload limitations specific to pDNA/shESE could be addressed in future studies, for example by using layer-by-layer loading of shESE

on the microbubble shell (40), polyplexes (46), lipopolyplexes (62), or other strategies.

Several elegant studies have shown that MB+US enhances chemotherapeutic delivery to tumors in regards to systemically circulating therapeutics (23,25,63–67), and that the mechanism for enhanced delivery is similar to the tissue-disruption mediated drug extravasation found in blood-brain-barrier opening (14,68). This study examined direct injection of complexed MB payload to the interstitium of the xenograft BT-474-Luc tumor, which when coupled with MB+US-mediated destruction of the microbubbles, was expected to similarly permeabilize tumor tissue and improve drug propagation. Compared to systemically-injected microbubbles, which have been shown to experience clearance due to immune factors or sequestering in the lung, liver, kidneys and spleen (69,70), a distinct advantage of intratumorally-delivered microbubbles is the enhanced localization of drug in the tumor body itself, and possible reduction in off-target effects compared to systemic injection. It is important to note that only a handful of MB+US studies have targeted oncogene expression (71–73), and such studies have utilized free-floating viral or pDNA payloads which have been systemically injected with microbubbles. The accelerated degradation of RNA compared to DNA in the bloodstream partially motivated our approach regarding direct tumor injection and electrostatic adsorption of RNA to MBs. Compared to shRNA-mediated knockdown, siRNA-mediated suppression was believed to be a more reliable effector of knockdown in conjunction with MB+US, due to higher molar concentration loaded onto the microbubbles, albeit at a shorter duration. We therefore selected siRNA for the tunable knockdown of ESE-1 in our tumor-growth attenuation study, and implemented serial treatments.

In this study, we conduct intratumoral injection of MBs, which seems well-suited for the delivery of RNAs in breast cancers; this delivery scheme offers a method of reducing RNA exposure to a multitude of nucleases compared to systemic delivery, and the impact of its invasiveness is perhaps lessened due to the nature and location of breast tumors. Additionally, the successful use of siESE in these studies is a novel application of ESE-1-mediated oncotherapy in triple-negative breast cancers, and the reduction in tumor growth achieved with MB+US and siESE demonstrates a viable alternative to viral delivery. Opportunities certainly exist for delivering other non-viral payloads: biologics, such as trastuzumab, may demonstrate improved efficiency when localized via intratumoral injection and dispersed using MB+FUS.

Finally, the safety of MB+US-mediated delivery has been a topic of some study, particularly in the treatment of volumes sensitive to insult such as brain tissue (74–76). While tissue damage was not a concern in this study, a consideration in the treatment of tumor pathologies is ultrasound propagation around the targeted site, resulting in MB destruction, subsequent release of payload and tissue permeabilization. We propose the following methods to potentially mitigate off-target effects with MB+US: 1) Injecting the therapeutic agent and MB directly into the treatment site or tumor resection cavity, 2) complexing the therapeutic agent with microbubbles using electrostatic or chemical interactions to enable exclusive release of payload upon microbubble destruction or dissolution, 3) initiating ultrasound stimulation immediately after direct injection of the tumor, and 4) utilizing focused ultrasound or appropriately dimensioned ultrasound beamforms to minimize stimulation of non-tumor tissue.

## Conclusion

MB+US-mediated sonoporation delivery of siESE adsorbed to cationic microbubbles was demonstrated to be an effective means of significantly attenuating tumor growth in BT-474-Luc xenograft tumors after direct injection of siRNA-MB complex. Additionally, ESE-1 expression, as well as 2D colony and 3D soft agar colony formation was shown to be significantly reduced in both BT-474 (ER<sup>+</sup>, PR<sup>+</sup>, HER2<sup>+</sup>) and MDA-MB-468 (ER<sup>-</sup>, PR<sup>-</sup>, HER2<sup>-</sup>) after MB+US-delivery of shESE or siESE. We conclude that future oncogene-suppressing MB+US therapy using siRNA may be a promising means of treating challenging breast-cancer pathologies, as well as sub-epidermal tumors such as melanoma, thyroid, parotid and skin cancer.

## Supplementary Material

Supplementary data.

<http://www.ntno.org/v03p0212s1.pdf>

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## Competing Interests

The authors have declared that no competing interest exists.

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